

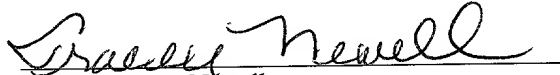
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:		
KAMVYSSELIS, Peter	:		
	:		
Application No.: To be assigned	:	Art Unit:	To be assigned
	:		
Filed: September 28, 2001	:	Examiner:	To be assigned
	:		
For: ERROR RECOVERY FOR	:	Docket No.:	EMS-02003
SRDF ASSIST	:		

Certificate of Express Mailing

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as express mail, in an envelope addressed to the Commissioner for Patents Washington, D.C. 20231 on this date of September 28, 2001.

  
Name: Tracey Newell  
Express Mail No.: EL506927255US

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination, entry of this amendment is respectfully requested for the above-captioned U.S. patent application.

It is not believed that extensions of time or fees for net addition of claims are required, beyond those which may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required for consideration of this paper (including fees for net addition of claims) are authorized to be charged in two originally-executed copies of a Transmittal Letter filed herewith.

0996926-092601  
T03260-92659660

**IN THE TITLE:**

Please replace the title with:

**--ERROR RECOVERY FOR SRDF ASSIST--**

**IN THE SPECIFICATION:**

On page 1, after the title, please add:

-- Cross-Reference to Related Applications

This application is a continuation of U.S. patent application No. 09/940,903 filed on August 28, 2001 (pending).--

**IN THE CLAIMS:**

Please cancel Claims 1-62 without prejudice or disclaimer of the subject matter thereof.

T08260-9269660

Please add the following new Claims 63-96:

63. A method for performing data recovery in a computer system comprising:

sending data from a first storage device to at least one other secondary storage device, said data being sent in a plurality of data packets, each of said plurality of packets being associated with a sequence number having a first predetermined value;

upon determining that the data has been successfully stored on all of said at least one other storage device, deleting journal entries in a sender corresponding to said data; and

upon determining a failure in connection with synchronizing data between a first storage device and at least one other secondary storage device, deleting journal entries in each of said at least one other secondary storage device, and resending unsynchronized journal entries from the sender.

64. The method of Claim 63, further comprising:

determining at least one journal entry in said sender that is an earliest journal entry included in said sender's journal entries; and

resending data starting with data of said earliest journal entry.

65. The method of Claim 64, further comprising:

determining an age of each journal entry included in said sender using sequence numbers such that the earliest journal entry has a lowest sequence number of all journal entries included in said sender.

66. The method of Claim 65, wherein said sender is a WAN blade coupled to said first storage device.
67. The method of Claim 66, wherein when a failure is determined, journal entries in each of said secondary storage device are determined to be unsynchronized.
68. The method of Claim 67, wherein a failure prevents a consistency group of storage devices from synchronizing data, said first storage device and said at least one other secondary storage device being included in said consistency group.
69. The method if Claim 68, wherein, upon occurrence of a failure, the consistency group triggers so that the secondary storage devices are deemed not ready by the first storage device and any subsequent updates on the first storage device are indicated as invalid on the secondary storage devices.
70. The method of Claim 69, wherein in response to said failure, data marked as invalid on the secondary devices is copied from the first to the other secondary storage devices as part of data restoration.
71. The method of Claim 63, wherein said failure is a link failure occurring when at least one communication link fails.

72. The method of Claim 71, further comprising:

detecting a link failure by failure of a linked device to response to a direct inquiry.

73. The method of Claim 72, wherein said link failure is a failed link between two WAN blades connected by a network.

74. The method of Claim 72, wherein said link failure is a failed link between a WAN blade coupled to a primary storage device.

75. The method of Claim 74, further comprising: in response to detecting said failed link, journaling writes to the WAN blade rather than the primary storage device, said WAN blade acting as a buffer to compensate for said failed link.

76. The method of Claim 75 , wherein, upon said WAN blade having a journal that overflows, said WAN blade not acknowledging write operations by the primary storage device.

77. The method of Claim 76, further comprising:

clearing said journal on said WAN blade in response to said journal overflowing by sending messages to the primary storage device to invalidate previously written and acknowledged data.

78. The method of Claim 63, wherein in response to the sequence number in the sender becoming equal to a second predetermined value different from the first predetermined value, acknowledging receipt of the blocks of data corresponding to the packets of data that are assigned the first predetermined value as the sequence number and sending the packets of data that are assigned the first predetermined value as the sequence number to said at least one other secondary storage device.

79. The method of Claim 78, wherein said acknowledging includes sending an acknowledgement to a host in the computer system sending data to the first storage device prior to said data actually being transferred to the at least one secondary storage device.

80. A computer program product for performing data recovery in a computer system comprising:

machine executable code that sends data from a first storage device to at least one other secondary storage device, said data being sent in a plurality of data packets, each of said plurality of packets being associated with a sequence number having a first predetermined value;

machine executable code that, upon determining that the data has been successfully stored on all of said at least one other storage device, deletes journal entries in a sender corresponding to said data; and

machine executable code that, upon determining a failure in connection with synchronizing data between a first storage device and at least one other secondary storage device, deletes journal entries in each of said at least one other secondary storage device, and resends unsynchronized journal entries from the sender.

81. The computer program product of Claim 80, further comprising:

machine executable code that determines at least one journal entry in said sender that is an earliest journal entry included in said sender's journal entries; and

machine executable code that resends data starting with data of said earliest journal entry.

82. The computer program product of Claim 81, further comprising:

machine executable code that determines an age of each journal entry included in said sender using sequence numbers such that the earliest journal entry has a lowest sequence number of all journal entries included in said sender.

83. The computer program product of Claim 82, wherein said sender is a WAN blade coupled to said first storage device.

84. The computer program product of Claim 83, further comprising machine executable code that, when a failure is determined, determines journal entries in each of said secondary storage device to be unsynchronized.

85. The computer program product of Claim 84, further comprising machine executable code that determines failure prevents a consistency group of storage devices from synchronizing data, said first storage device and said at least one other secondary storage device being included in said consistency group.

86. The computer program product Claim 85, further comprising machine executable code that, upon occurrence of a failure, triggers a consistency group in that the secondary storage devices are deemed not ready by the first storage device and any subsequent updates on the first storage device are indicated as invalid on the secondary storage devices.



87. The computer program product of Claim 86, further comprising machine executable code that, in response to said failure, marks data as invalid on the secondary devices and copies said data from the first storage device to the secondary storage devices as part of data restoration.

88. The computer program product of Claim 80, further comprising machine executable code that determines said failure is a link failure occurring when at least one communication link fails.

89. The computer program product of Claim 88, further comprising:

machine executable code that detects a link failure by failure of a linked device to response to a direct inquiry.

90. The computer program of Claim 89, further comprising machine executable code that determines said link failure is a failed link between two WAN blades connected by a network.

91. The computer program product of Claim 89, further comprising machine executable code that determines said link failure is a failed link between a WAN blade coupled to a primary storage device.



Table 1. *Continued*

Study	Year	Age group	Sample size	Prevalence (%)	95% CI
10	1997	15-64	1000	1.2	0.5-2.0
11	1998	15-64	1000	1.5	0.8-2.2
12	1999	15-64	1000	1.8	1.1-2.5
13	2000	15-64	1000	2.1	1.4-2.8
14	2001	15-64	1000	2.4	1.7-3.1
15	2002	15-64	1000	2.7	2.0-3.4
16	2003	15-64	1000	3.0	2.3-3.7
17	2004	15-64	1000	3.3	2.6-4.0
18	2005	15-64	1000	3.6	2.9-4.3
19	2006	15-64	1000	3.9	3.2-4.6
20	2007	15-64	1000	4.2	3.5-4.9
21	2008	15-64	1000	4.5	3.8-5.2
22	2009	15-64	1000	4.8	4.1-5.5
23	2010	15-64	1000	5.1	4.4-5.8
24	2011	15-64	1000	5.4	4.7-6.1
25	2012	15-64	1000	5.7	5.0-6.4
26	2013	15-64	1000	6.0	5.3-6.7
27	2014	15-64	1000	6.3	5.6-7.0
28	2015	15-64	1000	6.6	5.9-7.3
29	2016	15-64	1000	6.9	6.2-7.6
30	2017	15-64	1000	7.2	6.5-7.9
31	2018	15-64	1000	7.5	6.8-8.2
32	2019	15-64	1000	7.8	7.1-8.5
33	2020	15-64	1000	8.1	7.4-8.8
34	2021	15-64	1000	8.4	7.7-9.1
35	2022	15-64	1000	8.7	8.0-9.4
36	2023	15-64	1000	9.0	8.3-9.7
37	2024	15-64	1000	9.3	8.6-10.0
38	2025	15-64	1000	9.6	8.9-10.3
39	2026	15-64	1000	9.9	9.2-10.6
40	2027	15-64	1000	10.2	9.5-10.9
41	2028	15-64	1000	10.5	9.8-11.2
42	2029	15-64	1000	10.8	10.1-11.5
43	2030	15-64	1000	11.1	10.4-11.8
44	2031	15-64	1000	11.4	10.7-12.1
45	2032	15-64	1000	11.7	11.0-12.4
46	2033	15-64	1000	12.0	11.3-12.7
47	2034	15-64	1000	12.3	11.6-13.0
48	2035	15-64	1000	12.6	11.9-13.3
49	2036	15-64	1000	12.9	12.2-13.6
50	2037	15-64	1000	13.2	12.5-13.9
51	2038	15-64	1000	13.5	12.8-14.2
52	2039	15-64	1000	13.8	13.1-14.5
53	2040	15-64	1000	14.1	13.4-14.8
54	2041	15-64	1000	14.4	13.7-15.1
55	2042	15-64	1000	14.7	14.0-15.4
56	2043	15-64	1000	15.0	14.3-15.7
57	2044	15-64	1000	15.3	14.6-16.0
58	2045	15-64	1000	15.6	14.9-16.3
59	2046	15-64	1000	15.9	15.2-16.6
60	2047	15-64	1000	16.2	15.5-16.9
61	2048	15-64	1000	16.5	15.8-17.2
62	2049	15-64	1000	16.8	16.1-17.5
63	2050	15-64	1000	17.1	16.4-17.8
64	2051	15-64	1000	17.4	16.7-18.1
65	2052	15-64	1000	17.7	17.0-18.4
66	2053	15-64	1000	18.0	17.3-18.7
67	2054	15-64	1000	18.3	17.6-19.0
68	2055	15-64	1000	18.6	17.9-19.3
69	2056	15-64	1000	18.9	18.2-19.6
70	2057	15-64	1000	19.2	18.5-19.9
71	2058	15-64	1000	19.5	18.8-20.2
72	2059	15-64	1000	19.8	19.1-20.5
73	2060	15-64	1000	20.1	19.4-20.8
74	2061	15-64	1000	20.4	19.7-21.1
75	2062	15-64	1000	20.7	20.0-21.4
76	2063	1			

### REMARKS

Favorable consideration and allowance are earnestly solicited. Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at 617-951-6676.

Respectfully submitted,  
HUTCHINS, WHEELER & DITTMAR

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